

## Growth, structure, spectral properties and crystal-field analysis of monoclinic Nd:YNbO<sub>4</sub> single crystal



Shoujun Ding<sup>a,b</sup>, Qingli Zhang<sup>a,\*</sup>, Jinyun Gao<sup>a</sup>, Wenpeng Liu<sup>a</sup>, Jianqiao Luo<sup>a</sup>, Dunlu Sun<sup>a</sup>, Guihua Sun<sup>a</sup>, Xiaofei Wang<sup>a</sup>

<sup>a</sup> Anhui Institute of Optics and Fine Mechanics, Chinese Academy of Sciences, Key Laboratory of Photonic Devices and Materials, Hefei 230031, China

<sup>b</sup> University of Science and Technology of China, Hefei 230026, China

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### ABSTRACT

A Nd:YNbO<sub>4</sub> single crystal was successfully grown by Czochralski (Cz) method, its structural and spectroscopic properties were investigated. The X-ray rocking curve of the (010) diffraction face of Nd:YNbO<sub>4</sub> crystal was measured, the full width at half maximum (FWHM) of this diffraction peak is 0.05°, which indicates a high crystalline quality of the as-grown crystal. Its lattice parameters, atomic coordinates and so on were obtained by Rietveld refinement to X-ray diffraction data. According to the Archimedes drainage method, the crystal density of Nd:YNbO<sub>4</sub> is calculated to be 5.4 g/cm<sup>3</sup>. The Mohr's hardness value along (010) face was determined to be 6.0. The transmission spectrum along (010) face at room temperature was recorded and the excitation and emission spectra at 8 K were measured. Photoluminescence peaks of Nd:YNbO<sub>4</sub> were assigned, and the crystal-field splitting of Nd<sup>3+</sup> in YNbO<sub>4</sub> was obtained. The fluorescence lifetime of the <sup>4</sup>F<sub>3/2</sub>→<sup>4</sup>I<sub>11/2</sub> transition of Nd<sup>3+</sup> in YNbO<sub>4</sub> is fitted to be 152 μs. These spectroscopic and energy splitting data give an important reference for the research of laser property of Nd:YNbO<sub>4</sub> crystal.

### 1. Introduction

In recent years, laser diode pumped solid-state lasers based on Nd-doped crystals have attracted great attention for the applications in the medical treatment, industrial processing, and optical communications due to their high level of stability, high efficiency and compactness [1–4]. Nd-doped vanadate crystals have been proved to be a kind of high efficient laser medium with relatively high thermal conductivity. Nd:YVO<sub>4</sub> as one of the oldest researched vanadate crystal have been commercialized for polarizer and low pumping power laser in these years [5,6]. Nd:LuVO<sub>4</sub> and Nd:GdVO<sub>4</sub> exhibit better thermal properties than Nd:YVO<sub>4</sub>, which are expected to be used in moderate power lasers [7,8]. However, vanadate crystals tend to component volatility and form color centers during the Cz growth process, which indicates that it difficult to obtain high-quality and large-size crystal by Cz method [9]. Nowadays, rare earth doped tantalite materials as a novel laser hosts are being gradually researched, because they have stable physical and chemical properties and can be grown by Cz method. For example, a Nd:GdYTaO<sub>4</sub> single crystal was successfully grown by Peng for the first time, which shows excellent spectroscopic properties and the laser performance at 1066 nm was also performed [10]. The physical and spectroscopic properties of Yb, Ho:GdYTaO<sub>4</sub> crystal was researched by

Dou [11]. However, there are few reports about the rare earth doped niobate crystals, to the best of our knowledge. YNbO<sub>4</sub> has three types of structure [12,13]: high temperature tetragonal phase (T-type), low temperature monoclinic phase (M-type, with space group of *I2/a*), and monoclinic phase (M'-type, with space group of *P2/a*). In M-type, Nb atom coordinates with four O atoms to form a distorted tetrahedron whereas in M'-type Nb atom and six O atoms form a distorted octahedron. In Nd:YNbO<sub>4</sub> single crystal, Nd<sup>3+</sup> substitutes for Y<sup>3+</sup> with C<sub>2</sub> symmetry. The low symmetry of Nd<sup>3+</sup> in YNbO<sub>4</sub> is beneficial to relaxing the parity-forbidden rule and realizing polarized laser output [10,14]. Compared with the other low symmetry laser crystals grown by Cz method, Nd:YNbO<sub>4</sub> has some advantages [15,16]. For example, there is no component volatility in Cz growth processing of Nd:YNbO<sub>4</sub>, besides, the melting point of YNbO<sub>4</sub> crystal is lower than that of orthosilicates. Thus, larger-size and higher-quality Nd:YNbO<sub>4</sub> crystal can be easily obtained using Cz method.

In addition, the researches of niobates mainly focus on the rare earth doped phosphors. And niobate family (ReNbO<sub>4</sub>, Re=Y, Gd, La) have been proved to be inspiring luminescent materials. However, the researches on rare earth doped niobate crystals are rarely reported, to the best of our knowledge [17–19]. Therefore, Nd:YNbO<sub>4</sub> crystal is chosen to be laser host and its structure and luminescence properties

\* Corresponding author.

E-mail address: [zql@aiofm.ac.cn](mailto:zql@aiofm.ac.cn) (Q. Zhang).

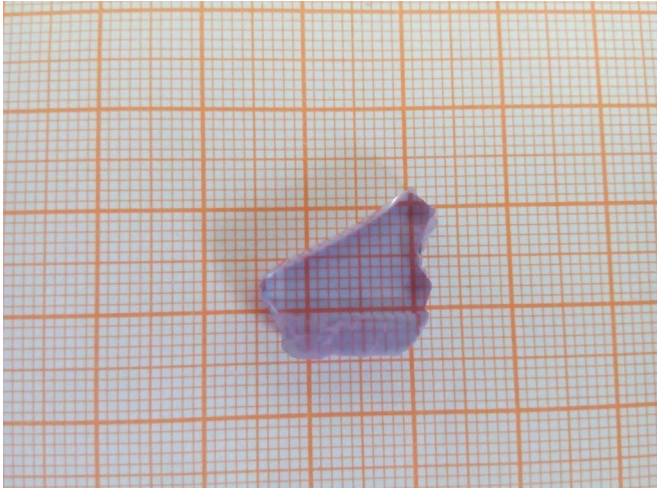


Fig. 1. The crystal slice of Nd:YNbO<sub>4</sub> which was cut and polished perpendicular to the *b* axis.

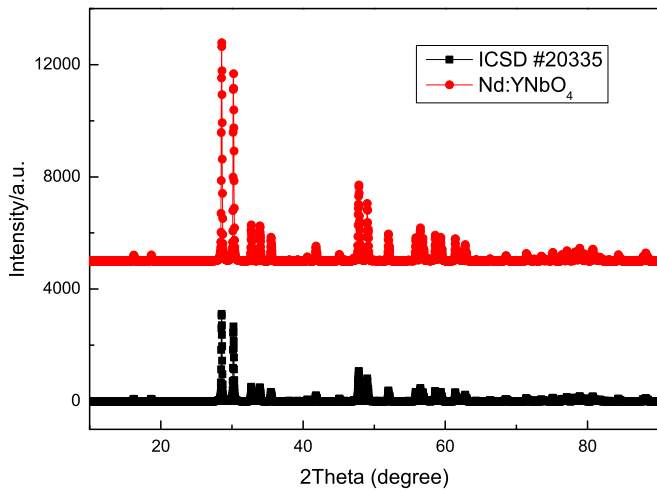


Fig. 2. XRD diffraction patterns of Nd:YNbO<sub>4</sub> crystal and YNbO<sub>4</sub> standard patterns (ICSD # 20335).

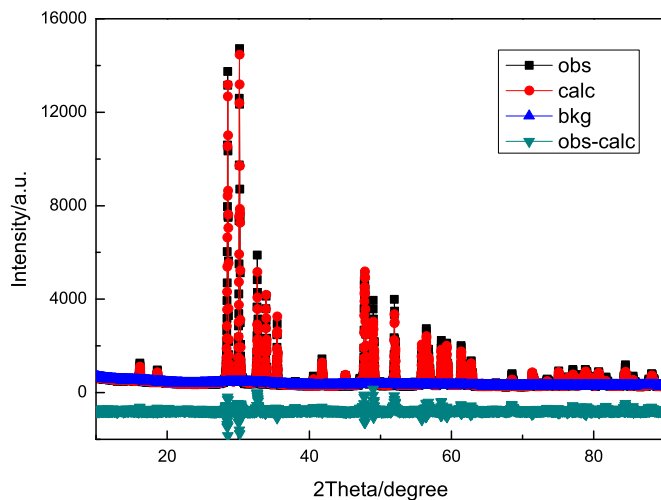


Fig. 3. Rietveld refinement to the X-ray diffraction of Nd:YNbO<sub>4</sub> crystal (obs: the experimental data; calc: the calculated pattern; bkg: the background curve; obs-calc: the difference curve).

was investigated in this work, in order to evaluate its laser performance.

Table 1

Structural parameters of Nd:YNbO<sub>4</sub> crystal, which are obtained by Rietveld refinement to X-ray diffraction data.

Atoms	X	Y	Z	Uiso
Nd and Y	0	0.6207	0	0.25
Nb	0	0.1442	0	0.25
O1	0.2454	0.0554	0.248	0.3259
O2	0.2841	0.29	0.301	0.2981
Lattice	A=7.041 Å, B=10.952 Å, C=5.301 Å; $\alpha=\gamma=90^\circ$ , $\beta=134.07^\circ$			
R factor	Rp=6.7%, Rwp=8.75%			

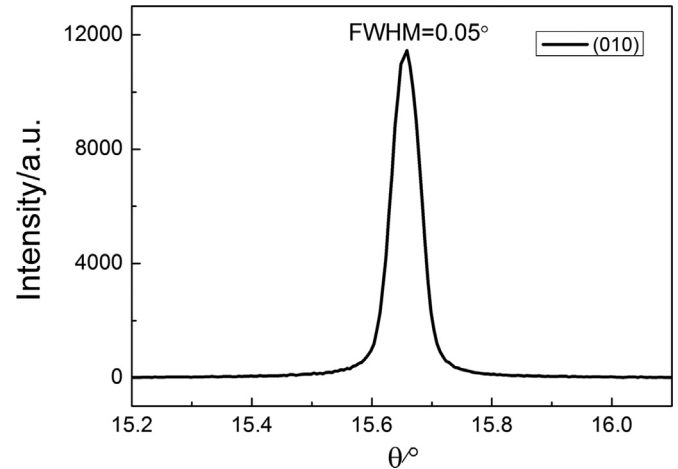


Fig. 4. X-ray Rocking curves of the (010) diffraction face of Nd:YNbO<sub>4</sub> crystal.

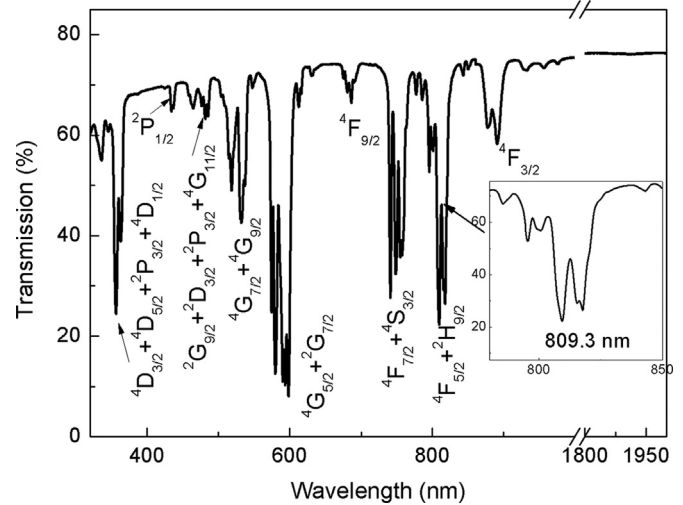


Fig. 5. Transmission spectrum of Nd:YNbO<sub>4</sub> crystal.

## 2. Experimental details

### 2.1. Crystal growth

Using the Cz method, 1 at% Nd-doped YNbO<sub>4</sub> crystal was grown successfully with a JGD-60 furnace (26th institute of CETC, China), with an automatic diameter controlled (ADC) growth system. The compounds Y<sub>2</sub>O<sub>3</sub> (5N), Nb<sub>2</sub>O<sub>5</sub> (4N), and Nd<sub>2</sub>O<sub>3</sub> (5N) were used as raw materials. The oxides were weighed according to the chemical formula Nd<sub>0.01</sub>Y<sub>0.99</sub>NbO<sub>4</sub>. After being mixed thoroughly and pressed into disks, the mixtures were loaded into iridium (Ir) crucible (60 mm in diameter, 45 mm in height). A YNbO<sub>4</sub> single crystal was used as the seed. The crystal was grown in N<sub>2</sub> atmosphere in order to prevent the iridium crucible from oxidation. The pulling rate was 0.5–1.5 mm/h

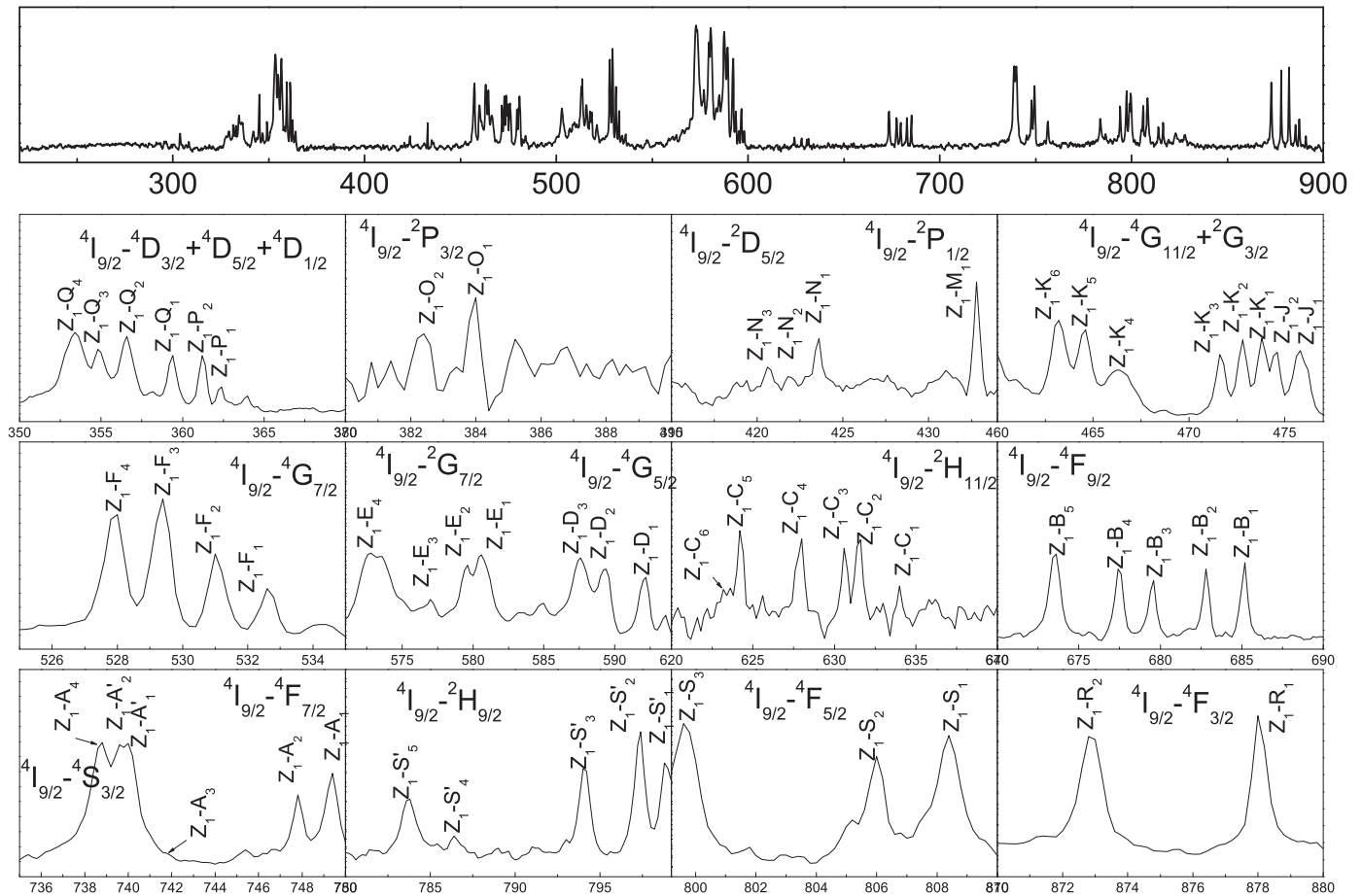


Fig. 6. Excitation spectrum and transition assignment of Nd:YNbO<sub>4</sub> at 8 K ( $\lambda=1066$  nm).

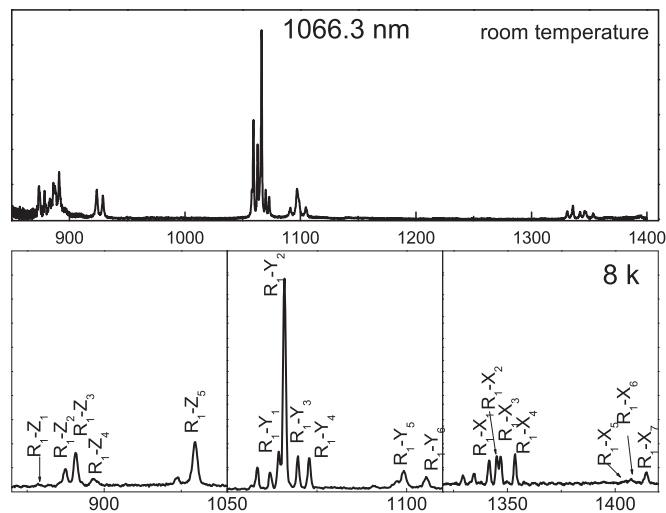


Fig. 7. Emission spectrum and transition assignment of Nd:YNbO<sub>4</sub> under 808 nm excitation at 8 K and room temperature.

and the rotation speed was 5.0–15.0 rpm. After growth, the crystal was cooled down to room temperature at a speed of 30–50 °C/h. Generally, the optical and physical properties should be characterized individually along three crystallographic axes for monoclinic system. However, unfortunately, we have only succeeded in determining the crystallographic *b* axis as no big crystal was obtained, up to now. But the study in this work is still of significance and reproducibility for a new crystal.

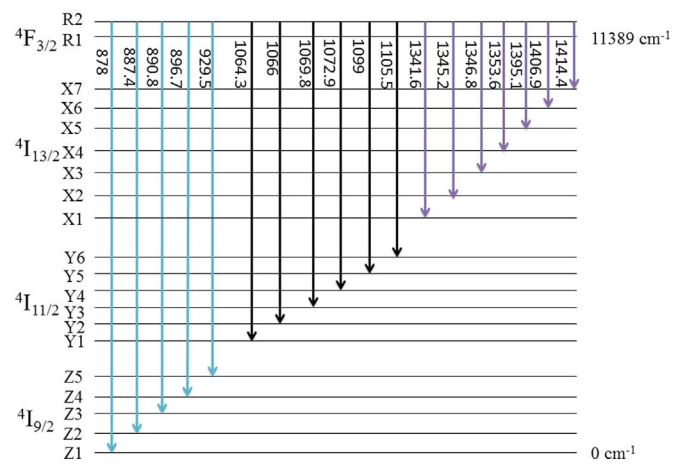


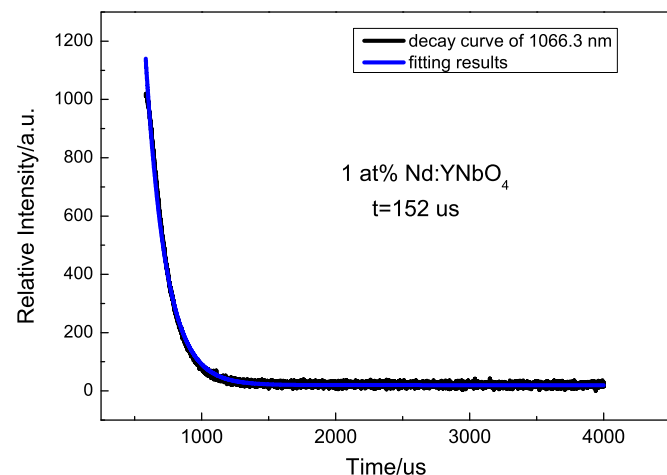
Fig. 8. A schematic diagram of the transitions  $4F_{3/2} \rightarrow 4I_{9/2}$ ,  $4I_{11/2}$  and  $4I_{13/2}$  of Nd<sup>3+</sup> in YNbO<sub>4</sub>.

## 2.2. Characterization

A Philips X'pert PRO X-ray powder diffractometer equipped with Cu K $\alpha$  radiation was used to measure the structure of Nd:YNbO<sub>4</sub> crystal at room temperature. A scan step of 0.02° was applied to record the patterns in the 2 $\theta$  range of 10–90°. X-ray rocking curve was collected by X'pert Pro MPD diffractometer equipped with a Hybrid K $\alpha$ 1 monochromator at room temperature. A HV-1000A micro hardness tester was employed to measure the hardness of the as-grown crystal. A Perkin-Elmer UV–VIS–NIR lambda-950 spectrophotometer was employed to measure the transmission spectrum of Nd:YNbO<sub>4</sub> crystal in

**Table 2**  
Energy levels of Nd<sup>3+</sup> in YNbO<sub>4</sub>.

<sup>2S+1</sup> L <sub>J</sub>		E (cm <sup>-1</sup> )	<sup>2S+1</sup> L <sub>J</sub>	E (cm <sup>-1</sup> )	
<sup>4</sup> I <sub>9/2</sub>	Z <sub>1</sub>	0	C <sub>4</sub>	15,926	
	Z <sub>2</sub>	120	C <sub>5</sub>	16,019	
	Z <sub>3</sub>	163	C <sub>6</sub>	16,044	
	Z <sub>4</sub>	237	<sup>4</sup> G <sub>5/2</sub>	D <sub>1</sub>	16,804
	Z <sub>5</sub>	631		D <sub>2</sub>	16,964
<sup>4</sup> I <sub>11/2</sub>	Y <sub>1</sub>	1993	D <sub>3</sub>	17,020	
	Y <sub>2</sub>	2008	<sup>2</sup> G <sub>7/2</sub>	E <sub>1</sub>	17,224
	Y <sub>3</sub>	2041		E <sub>2</sub>	17,250
	Y <sub>4</sub>	2068		E <sub>3</sub>	17,331
	Y <sub>5</sub>	2290	E <sub>4</sub>	17,455	
	Y <sub>6</sub>	2343	<sup>4</sup> G <sub>7/2</sub>	F <sub>1</sub>	18,774
<sup>4</sup> I <sub>13/2</sub>	X <sub>1</sub>	3935		F <sub>2</sub>	18,830
	X <sub>2</sub>	3955	F <sub>3</sub>	18,890	
	X <sub>3</sub>	3964	F <sub>4</sub>	18,942	
	X <sub>4</sub>	4001	<sup>2</sup> D <sub>3/2</sub>	J <sub>1</sub>	21,018
	X <sub>5</sub>	4221		J <sub>2</sub>	21,074
	X <sub>6</sub>	4281	<sup>4</sup> G <sub>11/2</sub>	K <sub>1</sub>	21,105
	X <sub>7</sub>	4319		K <sub>2</sub>	21,151
	<sup>4</sup> F <sub>3/2</sub>	R <sub>1</sub>	11,389	K <sub>3</sub>	21,201
R <sub>2</sub>		11,456	K <sub>4</sub>	21,444	
<sup>4</sup> F <sub>5/2</sub>	S <sub>1</sub>	12,370	K <sub>5</sub>	21,526	
	S <sub>2</sub>	12,407	K <sub>6</sub>	21,589	
	S <sub>3</sub>	12,505	<sup>2</sup> P <sub>1/2</sub>	M <sub>1</sub>	23,105
<sup>2</sup> H <sub>9/2</sub>	S' <sub>1</sub>	12,518		<sup>2</sup> D <sub>5/2</sub>	N <sub>1</sub>
	S' <sub>2</sub>	12,542	N <sub>2</sub>		23,702
	S' <sub>3</sub>	12,593	N <sub>3</sub>	23,772	
	S' <sub>4</sub>	12,716	<sup>2</sup> P <sub>3/2</sub>	O <sub>1</sub>	26,048
S' <sub>5</sub>	12,759	O <sub>2</sub>		26,156	
<sup>4</sup> F <sub>7/2</sub>	A <sub>1</sub>	13,344	<sup>4</sup> D <sub>3/2</sub>	P <sub>1</sub>	27,598
	A <sub>2</sub>	13,373		P <sub>2</sub>	27,682
	A <sub>3</sub>	13,481	<sup>4</sup> D <sub>5/2</sub>	Q <sub>1</sub>	27,827
	A <sub>4</sub>	13,537		Q <sub>2</sub>	28,044
<sup>4</sup> S <sub>3/2</sub>	A' <sub>1</sub>	13,512	Q <sub>3</sub>	28,174	
	A' <sub>2</sub>	13,521	<sup>4</sup> D <sub>1/2</sub>	Q <sub>4</sub>	28,301
<sup>4</sup> F <sub>9/2</sub>	B <sub>1</sub>	14,595			
	B <sub>2</sub>	14,645			
	B <sub>3</sub>	14,716			
	B <sub>4</sub>	14,761			
	B <sub>5</sub>	14,847			
<sup>2</sup> H <sub>11/2</sub>	C <sub>1</sub>	15,773			
	C <sub>2</sub>	15,835			
	C <sub>3</sub>	15,857			



**Fig. 9.** Fluorescence decay curves of the <sup>4</sup>F<sub>3/2</sub>→<sup>4</sup>I<sub>11/2</sub> transition of Nd<sup>3+</sup> in Nd:YNbO<sub>4</sub>.

the wavelength range of 320–2000 nm, the slice for measurement was cut and polished perpendicular to *b* axis, as shown in Fig. 1. The fluorescence spectra of Nd:YNbO<sub>4</sub> were recorded by a Edinburgh FLSP920 spectrometer with an excitation source of Xenon lamp. The transmission spectrum was measured at room temperature and the fluorescence spectrum was recorded at 8 K temperature..

**Table 3**  
The comparison of Nd:YNbO<sub>4</sub> crystal with other Nd<sup>3+</sup>-doped crystals.

Crystals	FWHM (808 nm)	σ <sub>a</sub> (10 <sup>-20</sup> cm <sup>2</sup> ) (808 nm)	τ (μs)	Ref.
Nd:YNbO <sub>4</sub>	7	8.9	152	This work
Nd:GdTaO <sub>4</sub>	6	5.1	178	[9]
Nd:YAG	2	8.3	230	[10]
Nd:LYSO	5	6.1	226	[25]

### 3. Results and discussion

#### 3.1. Crystal structure and quality

Crystal density and hardness are important physical parameters for investigating a new crystal. The density of Nd:YNbO<sub>4</sub> crystal is calculated to be 5.4 g/cm<sup>3</sup> by using Archimedes drainage method, which is very close to the theoretical density calculated by the lattice constant (5.43 g/cm<sup>3</sup>). The Vickers hardness measurement was repeated five times and an average was taken. The values determined on (010) faces was 720 HV. The Mohr's hardness was obtained to be 6.0 by using formula  $H_M=0.675(H_V)^{1/3}$  [20]. The XRD diffraction patterns of Nd:YNbO<sub>4</sub> crystal and YNbO<sub>4</sub> standard patterns (ICSD # 20335) are shown in Fig. 2. The position and shape of the diffraction peaks of the sample can be well consistent with the YNbO<sub>4</sub> standard card, which indicates that the as-grown crystal have the same structure with the M-type YNbO<sub>4</sub>. The structure of Nd:YNbO<sub>4</sub> was refined by using the general structure analysis system (GSAS) software [21], according to the XRD data. Using the structure data of YNbO<sub>4</sub> (ICSD # 20335) as initial values, the Rietveld refinement results of Nd:YNbO<sub>4</sub> are shown in Fig. 3 and Table 1. The residuals Rp and Rwp are 6.7% and 8.8%, respectively, which are both less than 10%, indicating the refinement results reliable. The X-ray Rocking curves of the (010) diffraction face of Nd:YNbO<sub>4</sub> crystal is shown in Fig. 4. The diffraction peak shows a symmetric shape without split and the full width at half maximum (FWHM) is 0.05°, which indicates a high crystalline quality of the as-grown crystal....

#### 3.2. Transmission spectrum

The transmission spectrum of Nd:YNbO<sub>4</sub> crystal in the range of 320–2000 nm at room temperature is shown in Fig. 5. There is a strong absorption band centered at 809.3 nm. The FWHM of this band is 7 nm and the transmission rate of this absorption is 22.2%. In transmission spectrum, the maximum transmission rate of the sample is 76.3%. According to the refractive index of Nd:YNbO<sub>4</sub> reported in Ref. [22], the absorption coefficients of Nd:YNbO<sub>4</sub> crystal can be calculated using the following formula

$$\alpha = -\frac{1}{d} \ln \frac{-(1-R)^2 + \sqrt{(1-R)^4 + 4R^2T^2}}{2R^2T} \quad (1)$$

Where *d* is the wafer thickness, *T* is the transmission ratio, *R* is the reflectivity and  $R = (n - 1)^2 / (n + 1)^2$ , in which *n* is the refractive index. The absorption coefficient at 809.3 nm is calculated to be 6.0 cm<sup>-1</sup>. The absorption cross section σ<sub>a</sub> can be calculated by σ<sub>a</sub>=α(λ)/*N*, where α is the absorption coefficient and *N* is the concentration of Nd<sup>3+</sup> in Nd:YNbO<sub>4</sub> crystal. The segregation coefficient of Nd ion is approximately 0.6 [23]. Therefore, the absorption cross section σ<sub>a</sub> of 1 at% Nd-doped YNbO<sub>4</sub> is calculated to be 8.9×10<sup>-20</sup> cm<sup>2</sup>..

#### 3.3. Luminescence properties

##### 3.3.1. Excitation spectrum of Nd:YNbO<sub>4</sub>

The excitation spectrum of Nd:YNbO<sub>4</sub> at 8 K temperature is shown in Fig. 6. There are 14 excitation bands in Fig. 6, which are corresponding to the characteristic excitation transitions of Nd<sup>3+</sup> from



the ground state ( $^4I_{9/2}$ ) to different excitation states. According to the position of the excitation peaks, the transitions from the ground state to different excitation states were assigned in Fig. 6. The assigned results are very closed to those of Nd:GdTaO<sub>4</sub> which are also with monoclinic system [24].

### 3.3.2. Emission spectrum of Nd:YNbO<sub>4</sub>

The emission spectrum ( $\lambda_{\text{ex}}=808$  nm) of Nd:YNbO<sub>4</sub> in the range of 850–1400 nm is shown in Fig. 7. The emission spectra are measured at 8 K and room temperature, and 8 K spectrum is more accurate than that of measured at room temperature. The characteristic emission peaks under 808 nm excitation are corresponding to the transitions of Nd<sup>3+</sup> from  $^4F_{3/2}$  level to  $^4I_{9/2}$ ,  $^4I_{11/2}$  and  $^4I_{13/2}$  levels, of which the emission peak at 1066.3 nm of  $^4F_{3/2} \rightarrow ^4I_{11/2}$  transition is the strongest. Based on the room temperature emission spectrum, the emission cross section  $\sigma_{\text{em}}$  was estimated to be  $29 \times 10^{-20}$  cm<sup>2</sup> by using the Füchtbauer-Ladenburg (F-L) formula [25]. The emission peaks under 8 K are assigned. A schematic diagram of the transitions  $^4F_{3/2} \rightarrow ^4I_{9/2}$ ,  $^4I_{11/2}$  and  $^4I_{13/2}$  of Nd<sup>3+</sup> are shown in Fig. 8...

### 3.3.3. Crystal-field analysis

The excitation and emission spectra at 8 K were fitted by Lorentz function, which is usually used to identify the peaks location. Then the Stark energy levels of Nd<sup>3+</sup> in YNbO<sub>4</sub> were calculated according to the fitted peaks position, the calculated results are shown in Table 2. All the obtained spectral and Stark energy levels have an important reference for the research and evaluation of the laser properties of Nd:YNbO<sub>4</sub> crystal.

### 3.3.4. Fluorescence decay curve of Nd:YNbO<sub>4</sub>

The fluorescence decay curve of the  $^4F_{3/2} \rightarrow ^4I_{11/2}$  transition excited by 808 nm is shown in Fig. 9. The decay curve can be well fitted with a single exponential decay function, and the fluorescence lifetime is fitted to be 152  $\mu$ s, which is longer than that of Nd:YVO<sub>4</sub> crystal [10]. The comparison of Nd:YNbO<sub>4</sub> crystal with other Nd<sup>3+</sup>-doped crystals is shown in Table 3. As can be seen, Nd:YNbO<sub>4</sub> crystal shows good comprehensive performance. The small emission cross section and long fluorescence lifetime of Nd:YNbO<sub>4</sub> indicates that it has a high energy storage capacity. In a word, Nd:YNbO<sub>4</sub> is a promising material can be applied in Q-switched laser..

## 4. Conclusions

A Nd:YNbO<sub>4</sub> single crystal was grown successfully by Cz method. The density of the as-grown crystal is measured to be 5.4 g/cm<sup>3</sup> by using Archimedes method. The Mohr's hardness was determined to be 5.7. The FWHM of the X-ray Rocking curve diffraction peak of (010) face is 0.05°, indicating a high crystalline quality of the as-grown crystal. The structure of Nd:YNbO<sub>4</sub> was refined using Rietveld refinement method and its lattice parameters and atomic coordinates were obtained. A maximum transmittance of Nd:YNbO<sub>4</sub> is 76.3% in transmission spectrum and the transmittance at 809.3 nm is 22.3%. The absorption coefficient of Nd:YNbO<sub>4</sub> crystal along (010) face at 809.3 nm is calculated to be 6.0 cm<sup>-1</sup>. The excitation and emission peaks at 8 K were assigned and the crystal-field splitting energy levels of Nd<sup>3+</sup> in YNbO<sub>4</sub> crystal were obtained. The fluorescence lifetime of the  $^4F_{3/2} \rightarrow ^4I_{11/2}$  transition of Nd<sup>3+</sup> in Nd:YNbO<sub>4</sub> is fitted to be 152  $\mu$ s. All of the results have an important reference for exploring the Nd:YNbO<sub>4</sub> as a novel laser crystal.

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