

Optimized calculation of the synergy conditions between electron cyclotron current drive and lower hybrid current drive on EAST

This content has been downloaded from IOPscience. Please scroll down to see the full text.

2016 Chinese Phys. B 25 015201

(<http://iopscience.iop.org/1674-1056/25/1/015201>)

View [the table of contents for this issue](#), or go to the [journal homepage](#) for more

Download details:

IP Address: 211.86.158.24

This content was downloaded on 19/06/2017 at 03:24

Please note that [terms and conditions apply](#).

You may also be interested in:

[Numerical analysis on the synergy between electron cyclotron current drive and lower hybrid current drive in tokamak plasmas](#)

S Y Chen, B B Hong, Y Liu et al.

[Synergy Current Driven by Combined Lower Hybrid Wave and Different Polarized Electron Cyclotron Wave in Tokamak Plasma](#)

Chen Shao-Yong, Tang Chang-Jian and Zhang Xin-Jun

[Advances in modeling of lower hybrid current drive](#)

Y Peysson, J Decker, E Nilsson et al.

[Modelling of the EAST lower-hybrid current drive experiment using GENRAY/CQL3D and TORLH/CQL3D](#)

C Yang, P T Bonoli, J C Wright et al.

[Currents driven by electron cyclotron waves](#)

C.F.F. Karney and N.J. Fisch

[Electron cyclotron current drive in a lower hybrid current drive plasma](#)

T. Maehara, S. Yoshimura, T. Minami et al.

[3rd harmonic electron cyclotron resonant heating absorption enhancement by 2nd harmonic heating at the same frequency in a tokamak](#)

S Gnesin, J Decker, S Coda et al.

[Electron cyclotron resonance heating and current drive in toroidal fusion plasmas](#)

V Erckmann and U Gasparino

Optimized calculation of the synergy conditions between electron cyclotron current drive and lower hybrid current drive on EAST*

Wei Wei(韦维)^{1,2}, Bo-Jiang Ding(丁伯江)^{2,†}, Y Peysson³, J Decker³, Miao-Hui Li(李妙辉)²,
Xin-Jun Zhang(张新军)², Xiao-Jie Wang(王晓洁)², and Lei Zhang(张磊)⁴

¹Hefei University of Technology, Hefei 230009, China

²Institute of Plasma Physics, Chinese Academy of Sciences, Hefei 230031, China

³CEA, IRFM, 13108 St. Paul-lez-Durance, France

⁴Shanxi University of Technology, Hanzhong 723001, China

(Received 16 March 2015; revised manuscript received 19 August 2015; published online 8 December 2015)

The optimized synergy conditions between electron cyclotron current drive (ECCD) and lower hybrid current drive (LHCD) with normal parameters of the EAST tokamak are studied by using the C3PO/LUKE code based on the understanding of the synergy mechanisms so as to obtain a higher synergistic current and provide theoretical reference for the synergistic effect in the EAST experiment. The dependences of the synergistic effect on the parameters of two waves (lower hybrid wave (LHW) and electron cyclotron wave (ECW)), including the radial position of the power deposition, the power value of the LH and EC waves, and the parallel refractive indices of the LHW (N_{\parallel}) are presented and discussed.

Keywords: EAST, lower hybrid current drive (LHCD), electron cyclotron current drive (ECCD), synergistic effect

PACS: 52.35.Hr, 52.55.Fa, 52.65.-y, 52.65.Ff

DOI: 10.1088/1674-1056/25/1/015201

1. Introduction

Both the lower hybrid current drive (LHCD) and electron cyclotron current drive (ECCD), as two important ways of non-inductive current drive in tokamak, have advantages and disadvantages. The greatest advantage of LHCD is the high current drive efficiency, because it can directly increase the parallel velocity of current-carrying electrons through Landau damping. Its main drawbacks are difficulty of current profile control and accessibility problem at high density. Compared with LHCD, the ECCD is widely used to control the plasma current profile and suppress the plasma MHD activity due to easy coupling, strong localization, and controllable absorption. However, the disadvantage of the ECCD is the low current drive efficiency, because it is drive current indirectly through cyclotron damping which causes resonant electrons to obtain energy and an increase of the perpendicular velocity.

Owing to these complementary features, a combination of LHCD and ECCD becomes an appealing solution for high-performance and long-pulse advanced tokamak discharges. Fidone first proposed in the 1980's^[1] that the I_{EC+LH} , which is defined as the total current driven by the ECW and LHW simultaneously, is larger than the sum ($I_{EC} + I_{LH}$) of the currents driven by the ECW and LHW separately, i.e., $I_{EC+LH} > I_{EC} + I_{LH}$. Since then, theoretical and experimental studies of the synergy have been carried out in many devices, such as WT-2,^[2] JFT-2M,^[3] WT-3,^[4] Versator II,^[5] etc. In addition,

several Fokker-Planck codes^[6-8] have numerically demonstrated this phenomenon. However, the results of these experiments could not provide a quantitative assessment of the synergistic effect. In 2004, the first experimental verification of the synergy between LHCD and ECCD was obtained on Tore Supra,^[9] and this experiment still needs further physical explanation. Therefore, it is necessary to study the physical mechanism of the synergistic effect, which will provide a theoretical direction, and the optimized synergy conditions for the synergy experiments will be carried out on the EAST.

2. Kinetic modeling and C3PO/LUKE code

At present, it is considered that the synergistic effect is caused by the synergy electrons arising from the two waves in the phase space.^[10] The so-called synergy electrons are those high-energy electrons, each of which obtains a higher vertical velocity u_{\perp} and parallel velocity u_{\parallel} by interacting with ECW (or LHW), and thus enters the LHW (or ECW) resonant region. The current driven by these high-energy electrons is the additional synergy current generated during the synergy period. It is generally considered that there are two mechanisms of the synergetic effect: (i) the electrons are first pushed by the ECCD, and then be further driven by the LHW as long as they enter the LHCD resonant region; and (ii) the electrons are first dragged by the LHCD, and then the ECW could selectively couple with the fast electron tail sustained by the LHW.

*Project supported by the National Magnetic Confinement Fusion Science Program of China (Grant Nos. 2011GB102000, 2012GB103000, and 2013GB106001), the National Natural Science Foundation of China (Grant Nos. 11175206 and 11305211), the JSPS-NRF-NSFC A3 Foresight Program in the Field of Plasma Physics (Grant No. 11261140328), and the Fundamental Research Funds for the Central Universities of China (Grant No. JZ2015HGBZ0472).

†Corresponding author. E-mail: bjding@ipp.ac.cn

The codes used to obtain LH+EC wave absorption and LH+EC synergy current are GENRAY^[11]/CQL3D,^[7] FRTC^[12]/OGRAY^[8] and C3PO^[13]/LUKE.^[6] In this study, the C3PO/LUKE code is used. The LUKE is a code for solving the three-dimensional (3D) (two-dimensional (2D) momentum and one-dimensional (1D) radial) bounce-averaged relativistic electron drift kinetic equation for the absorption of the waves by the electrons, which is coupled to the ray-tracing solvers C3PO for the wave propagation. It is designed for the current drive problem of any RF electron wave (LH, EC, electron Bernstein waves) in tokamak with an arbitrary axisymmetric magnetic equilibrium. The C3PO/LUKE code can be used to simulate ECRH/ECCD, as well as synergistic effects with other electron waves such as LHCD in a consistent way.

In the following, we discuss the kinetic modeling of LH+EC current drive. Current drive by superthermal electrons is numerically investigated using the 3D linearized relativistic bounce-averaged electron Fokker-Planck equation:^[6,14,15]

$$\frac{\partial f}{\partial t} + v_{gc} \nabla f = C(f) + Q(f) + \varepsilon(f), \quad (1)$$

where $f(r, p, \xi_0, t)$ is the electron distribution function at the radial location r and time t ; p is the electron momentum; and ξ_0 is the pitch angle cosine at the minimum of the magnetic field on a magnetic flux surface; v_{gc} is the guiding center velocity; $C(f)$, $Q(f)$, and $\varepsilon(f)$ are the collision operator, quasilinear operator, and electric field operator, respectively, which can be given by

$$\begin{aligned} C(f) &= -\nabla S^C, \\ Q(f) &= -\nabla S^W, \\ \varepsilon(f) &= -\nabla S^E, \end{aligned}$$

with S^C , S^W , and S^E being the Coulomb collisions (C), radio frequency (rf) wave (include LHW and ECW), and Ohmic electric field (E) induced electron fluxes, respectively.

The flux divergences of the momentum and radial space in the kinetic equation (1) can be expressed in conservative form as

$$\begin{aligned} \nabla_p S^{(0)} &= \frac{1}{p^2} \frac{\partial}{\partial p} (p^2 S_p^{(0)}) - \frac{1}{\lambda(r\xi_0)} \frac{1}{p} \\ &\quad \times \frac{\partial}{\partial \xi_0} (\sqrt{1-\xi_0^2} \lambda(r, \xi_0) S_\xi^{(0)}), \end{aligned} \quad (2)$$

and

$$\nabla_r S_T^{(0)} = \frac{1}{\lambda(r\xi_0)R_0r} \frac{\partial}{\partial r} (R_0r\lambda(r, \xi_0)S_r^{(0)}). \quad (3)$$

The superscript “(0)” represents the bounce averaged, λ is the normalized bounce time, and S_T is the electronic radial diffusion transport (T) induced electron flux.

The phase space flux $S^{(0)}$ is decomposed into a diffusive term and a convective term, i.e., $S^{(0)} = -D^{(0)}\nabla f^{(0)} + F^{(0)}f^{(0)}$.

Here, $D^{(0)}$ and $F^{(0)}$ are respectively the diffusion tensor and convection vector in phase space. The bounce averaged flux of Eqs. (2) and (3) are given by

$$S_p^{(0)} = -D_{pp}^{(0)} \frac{\partial f_0}{\partial p} + \frac{\sqrt{1-\xi_0^2}}{p} D_{p\xi}^{(0)} \frac{\partial f}{\partial \xi_0} + F_p^{(0)} f, \quad (4)$$

$$S_\xi^{(0)} = -D_{\xi p}^{(0)} \frac{\partial f_0}{\partial p} + \frac{\sqrt{1-\xi_0^2}}{p} D_{\xi\xi}^{(0)} \frac{\partial f}{\partial \xi_0} + F_\xi^{(0)} f, \quad (5)$$

$$S_r^{(0)} = -D_{rr}^{(0)} \frac{\partial f_0}{\partial r} + F_r^{(0)} f. \quad (6)$$

These coefficients $D_{pp}^{(0)}$, $D_{p\xi}^{(0)}$, $F_p^{(0)}$, $D_{\xi p}^{(0)}$, $D_{\xi\xi}^{(0)}$, $F_\xi^{(0)}$, $D_{rr}^{(0)}$, and $F_r^{(0)}$ need to be determined separately in the process of calculation for different physical terms (LH, EC, C, E, T). The radio frequency (RF) wave terms (LH, EC) are purely diffusive so that $F_{LH}^{(0)} = F_{EC}^{(0)} = 0$, while the electric field term (E) is purely convective, $D_E^{(0)} = 0$.

For the RF wave terms (LH, EC), corresponding diffusion tensors which depend on the waves present in the plasma and are based on the quasilinear theory of the interactions between waves and plasmas can be expressed as follows:

$$D_{pp}^{RF(0)} = \sum_{n=-\infty}^{\infty} \sum_b (1-\xi_0^2) D_{b,n}^{RF(0)}(P, \xi_0), \quad (7)$$

$$D_{p\xi}^{RF(0)} = \sum_{n=-\infty}^{\infty} \sum_b -\frac{\sqrt{1-\xi_0^2}}{\xi_0} \left(1-\xi_0^2 - \frac{n\Omega_0}{\omega_b}\right) D_{b,n}^{RF(0)}(P, \xi_0), \quad (8)$$

$$D_{\xi p}^{RF(0)} = \sum_{n=-\infty}^{\infty} \sum_b -\frac{\sqrt{1-\xi_0^2}}{\xi_0} \left(1-\xi_0^2 - \frac{n\Omega_0}{\omega_b}\right) D_{b,n}^{RF(0)}(P, \xi_0), \quad (9)$$

$$D_{\xi\xi}^{RF(0)} = \sum_{n=-\infty}^{\infty} \sum_b \frac{1}{\xi_0^2} \left(1-\xi_0^2 - \frac{n\Omega_0}{\omega_b}\right)^2 D_{b,n}^{RF(0)}(P, \xi_0). \quad (10)$$

Here, $D_{b,n}^{RF(0)}(P, \xi_0)$ is the quasi-linear diffusion coefficient which describes the interaction of the electrons with a given beam b at an harmonic number n , Ω_0 is the cyclotron frequency taken at the minimum value of magnetic field, and ω_b is the wave frequency. For lower hybrid wave, we have $n = 0$ and the quasilinear diffusion is strictly along the parallel direction (i.e., magnetic field line). For simplicity, at a cyclotron harmonic, where $\omega_b = n\Omega$ (Ω is the cyclotron frequency), the perpendicular diffusion is only taken into account. Here a parallel component, which may exist if the wave is launched at a non-zero angle toroidally, and relativistic corrections are not considered.

The detailed expressions of other coefficients for different physical terms (C, E, and T) can be found in Ref. [6].

The current density carried by electrons associated with f can be calculated by

$$J = \int q_e v_{\parallel} f(v) d^3v. \quad (11)$$

The RF power absorbed per unit volume by the plasma is given by

$$P = \int m_e v (S_{LH} + S_{EC}) d^3v. \quad (12)$$

3. Calculation results with the parameters of EAST

EAST is a full superconducting tokamak device with non-circular cross section. The typical background plasma parameters are as follows: plasma major radius $R = 1.85$ m, plasma minor radius $a = 0.45$ m, plasma elongation ratio $\kappa = 1.9$, and triangle variable factor $\delta = 0.5$. The working frequency of the ECRH system is chosen to be 140 GHz and the second harmonic extraordinary mode (X2) is used for electron heating and current drive. The wave source is amplified by 4 gyrotrons each with 1 MW/100 s output power. A total power of 4 MW is injected into the plasma through the horizontal port from the low field side. The radius of the launch point of the EC wave R_a is 300 cm, and the vertical deviation of the launch point with respect to the middle plane Z_a is 30 cm.

In order to compare with the experimental results better, the parameters adopted in this calculation are given as plasma current $I_p = 400$ kA, toroidal magnetic field $Bt_0 = 2.3$ T, lower hybrid wave (LHW) frequency $f_{LH} = 4.6$ GHz, LHW power $P_{LH} = 2$ MW, and the peak value of parallel refractive index $N_{\parallel}^{\text{peak}} = 2.04$, which are the parameters for discharge #48888 of the full wave current drive by 4.6 GHz LHW in the 2014 EAST experiment. In this paper, plasma equilibrium is simulated by the EFIT code.^[16] The profiles of electron density n_e and electron temperature T_e have been used are also the measurement results in the discharge #48888 (see Fig. 1).

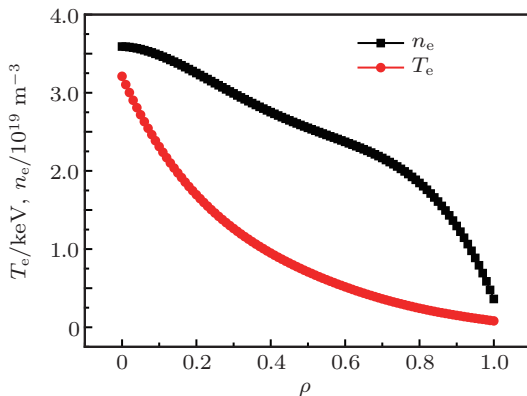


Fig. 1. (color online) Radial profiles of electron density and electron temperature used in this paper.

3.1. Dependence of synergistic effect on radial position of the power deposition

In order to investigate the effect of radial position of the power deposition on the synergistic effect between ECCD and

LHCD, the radial position of the peak drive current density of the LHW is fixed to be $\rho_{LH} = 0.05$. At the same time, a movable mirror is used to adjust the toroidal or poloidal incident angle of the EC wave antenna, hence changing the radial position of ECW power deposition. The synergistic effects at different values of radial position ρ_{EC} (in normalized radius) at which the EC current is driven are investigated by scanning the poloidal injection angle θ and toroidal injection angle φ of ECW. The calculation results are shown in Fig. 2, where the ECW and LHW power are 1 MW and 2 MW, respectively. From Fig. 2, it is shown that the radial positions of ρ_{EC} and ρ_{LH} are the key factors affecting the synergy effects. When ρ_{EC} is close to ρ_{LH} , a greater synergy current (defined as $I_{\text{syn}} = I_{EC+LH} - I_{EC} - I_{LH}$) $I_{\text{syn}} = 207$ kA and synergy factor (defined as $F_{\text{syn}} = I_{EC+LH} / (I_{EC} + I_{LH})$ ^[10]) $F_{\text{syn}} = 1.428$ can be obtained as shown in Fig. 2(a). With the position of ρ_{EC} starting far from ρ_{LH} , the synergistic effect still exists because the ECW is still deposited at the LHW absorption edge region, but the synergy current and synergy factor are reduced gradually. Figure 2(d) shows that when ρ_{EC} is far from ρ_{LH} , the profile of driven current density with ECW+LHW is very similar to that of LHW alone. Here, the synergistic effect is very poor ($I_{\text{syn}} = 19.3$ kA, $F_{\text{syn}} = 1.042$).

In order to obtain a larger synergistic effect, the first condition is to make the peaks of the drive current density of the LHW and ECW overlap. Furthermore, the diffusion regions of the two waves in the velocity space must also overlap. Figure 3 shows the interaction between two waves and electrons in velocity space. Generally, the role of the ECW is to push electrons to a higher vertical velocity and obtain the greater vertical energy by the cyclotron damping. In addition, the role of LHW is to push electrons to a higher parallel velocity and obtain the greater parallel momentum by the Landau damping. Figure 3(c) shows that the electrons obtain a larger parallel and vertical momentum after combining with two waves, and this is no simple linear superposition. In fact, the low energy electrons are accelerated by the ECW and obtain a higher vertical velocity, which can fall into the lower limit of the LHW resonance region, and will obtain a greater parallel velocity due to a further acceleration by the LHW. Furthermore, these electrons are far from the electron trapping region because of the large parallel speeds. Therefore, a larger synergy current drive by two waves than by LHW and ECW separately can effectively be obtained.

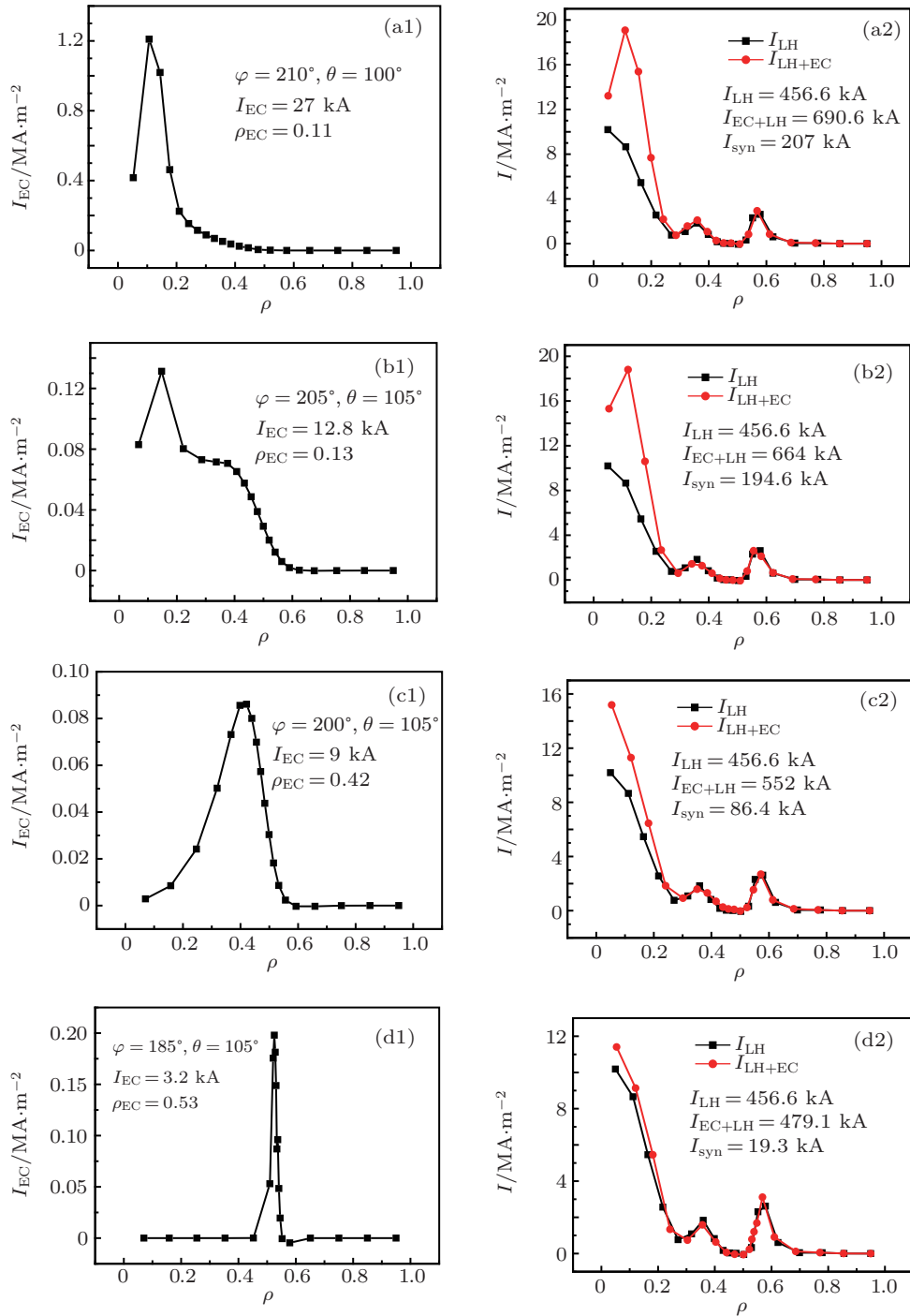


Fig. 2. (color online) Profiles of driven current density with [(a1), (a2)] ECW only, [(b1), (b2)] LHW only, and [(c1), (c2)] ECW + LHW, and [(d1), (d2)] the comparisons of the synergistic effect between ECCD and LHCD at different values of ρ_{EC} .

The electron distribution functions before and after inputting the EC or LH waves^[17] are shown in Fig. 4. As is well known, the initial plasma is of a symmetric Maxwell distribution. The wave interacts with the electrons by cyclotron damping or Landau damping after inputting the ECW or LHW, thus a steady distortion distribution (i.e., an asymmetric electron distribution function) can form and the non-inductive current can be driven continuously during the ECW or LHW application. By solving the Fokker–Planck equation, four cases

of electron distribution functions (Maxwellian, ECW alone, LHW alone and LHW+ECW) at normalized radius $\rho = 0.05$ are obtained. It is seen that the high-energy plateau with the LHW+ECW considered is more prominent than with LHW alone, thereby leading to a higher driven current.

In conclusion, there is a strong dependence of the synergistic effect between LHCD and ECCD on the power deposition locations of the two waves. A larger synergistic effect could be obtained when the peaks of the ECW and LHW cur-

rent density are superposed, because this may further make the two waves overlap in the velocity space, and the overlapping of the diffusion regions of ECW and LHW can make the high-energy electrons accelerated by one kind of wave accelerate, and further by another wave, and then an additional synergistic current can be formed effectively. The power deposition position of the LHW is mainly determined by LHW

power spectrum, profiles of plasma temperature and density, which are generally difficult to adjust. However, the change of the location of the ECW can be easily realized by adjusting the toroidal and poloidal incident angle of the ECW antenna. Therefore, in order to obtain a better synergistic effect, the ECW power is arranged to deposit at the same radial position of the LHW power by selecting appropriate toroidal and poloidal inject angles of the ECW. The relationships between the ECW parameters and its deposition position have been studied in detail in Ref. [18].

3.2. Dependences of synergistic effect on ECW power and LHW power

The relationship between the synergistic effect and the powers of ECW and LHW has been studied numerically and experimentally in many fusion devices. Research shows that the fraction of the trapped electrons, the changes of the local temperature,^[7] the profile of the wave power deposition and its absorption position^[19] are affected strongly by the levels of the powers of the two waves, which make the synergy current change, even lower than zero, i.e., a negative synergy effect ($I_{EC+LH} < I_{EC} + I_{LH}$) can be obtained when the ratio between the powers of the two waves satisfies a certain condition.^[20,21] Therefore, it is necessary to study the dependences of the synergistic effect on ECW power and LHW power in the EAST to avoid the negative synergy effect, which is meaningful for guiding the relevant physical experiments in the future.

The synergy currents are investigated with the LHW and ECW power scanning from 0.5–2 MW, respectively. The calculation results are shown in Fig. 5. It is seen that the synergistic effect is much more dependent on the level of EC wave power: the synergy current and synergy factor increase obviously with the increase of ECW power. In addition, at the levels of 0.5–2 MW of ECW and LHW power, the synergy currents vary linearly with the LHW and ECW power with no negative synergy effect. The calculations by the C3PO/LUKE code on the EAST indicate that the maximum synergy current I_{syn} is about 300 kA, synergy factor F_{syn} is about 1.9, and the total current driven by the ECW and LHW I_{EC+LH} is about 830 kA, when $P_{LH} = 2$ MW and $P_{EC} = 2$ MW.

The mechanism of current enhancement caused by the synergy of two waves can be qualitatively explained as follows.^[22] First, the application of ECW leads to the increase of the fast electron population, and the effect of Landau damping of the LHW is improved. Then the local electron temperature increases because of the ECW, which will reduce the collision frequency between the resonant electrons and background ions (the collision frequency is proportional to $T_e^{-3/2}$), and the plasma current carried by fast electrons is increased indirectly. Therefore, the effect of ECW power on the synergistic effect is very strong: with the increase of ECW power, not only does the number of fast electrons increase, but also

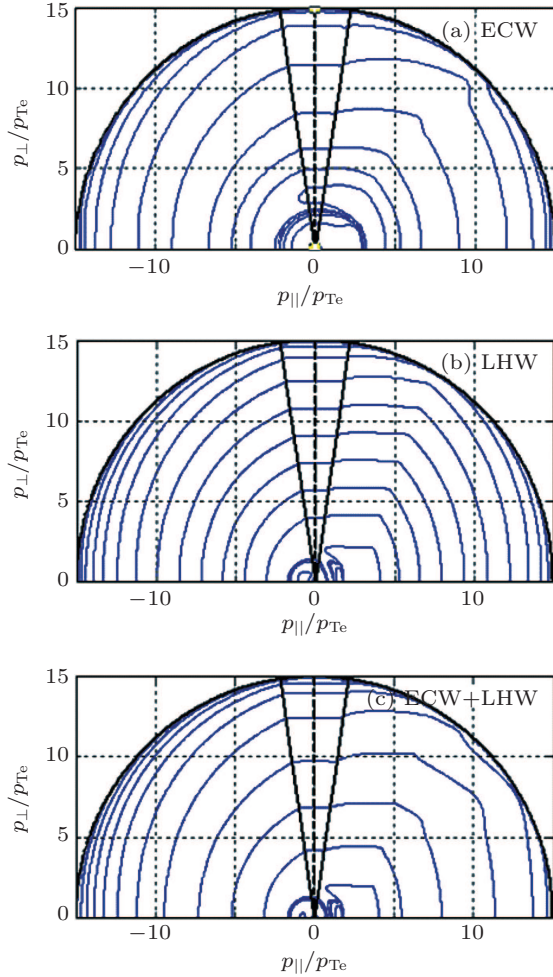


Fig. 3. (color online) Deviations of electron distribution function from the Maxwellian by (a) ECW only, (b) LHW only, and (c) ECW+LHW at $\rho = 0.05$. p_{\perp} and p_{\parallel} are the relativistic momentum components perpendicular and parallel to the magnetic field.

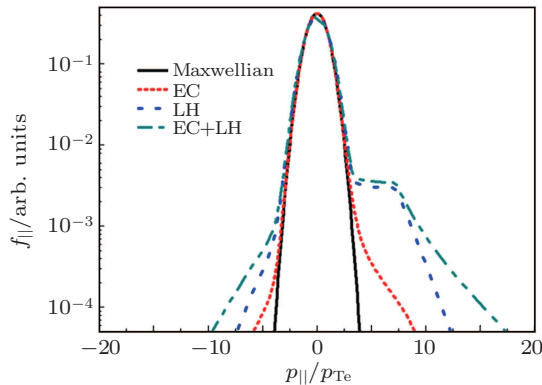


Fig. 4. (color online) Parallel distribution functions with Maxwellian, ECW only, LHW only, and ECW+LHW at $\rho = 0.05$.

the collision frequency decreases due to the increase of the local electron temperature, which induces the synergistic effect to become better. In Ref. [19] it was also pointed out that the EC power level is a very important parameter for synergy current, especially for the profile of the power deposition of LHW. As the ECW power increases, the peak of absorption for LHW moves towards the barrier region. As a consequence there is a strong increase in the combined current drive, and synergy effects produce higher current drive efficiency than would be attained if the current drive efficiencies of LH and EC waves were independent of each other.

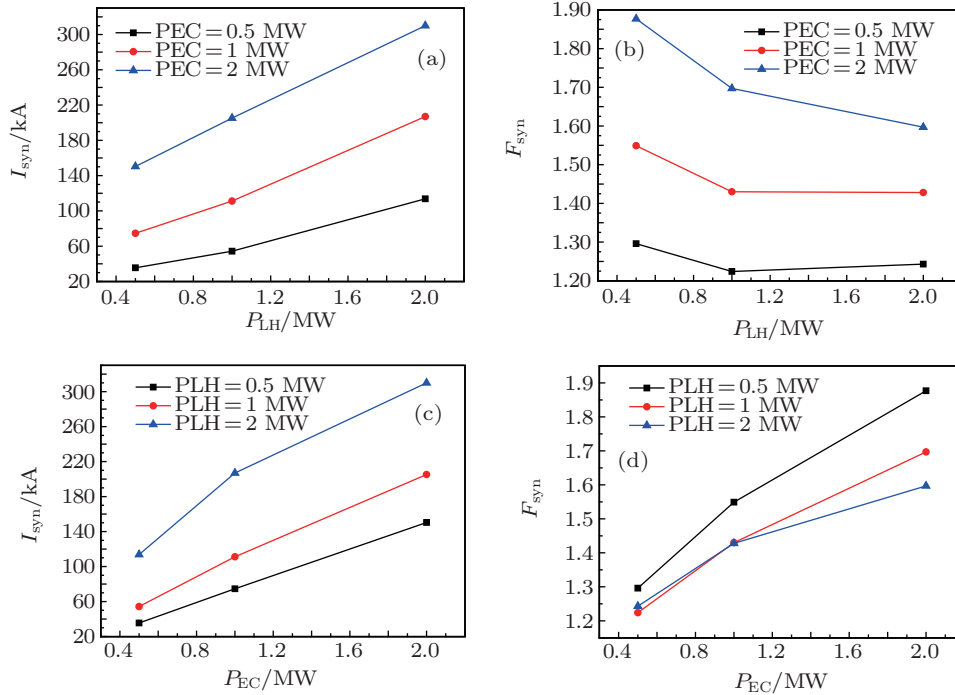


Fig. 5. (color online) Synergistic effect as a function of the input power. (a), (b) Synergy current and synergy factor versus LHW power at different ECW powers; (c), (d) synergy current and synergy factor versus ECW power at different LHW powers.

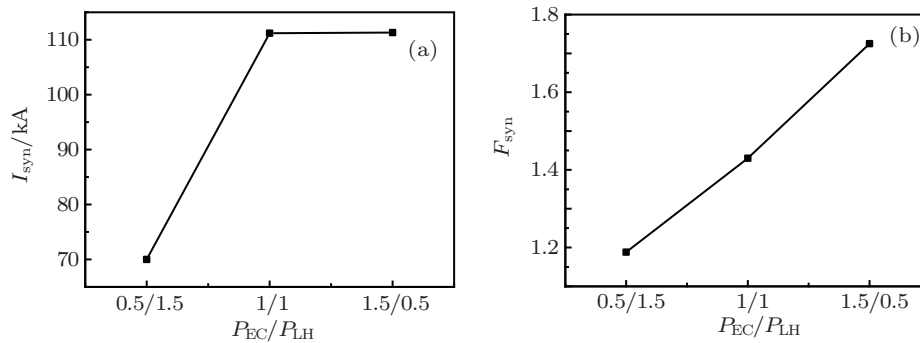


Fig. 6. Variations of synergy current (a) and synergy factor (b) with P_{EC}/P_{LH} power ratio.

3.3. Dependence of synergistic effect on $N_{||}$ of LHW

The parallel refractive index of LHW ($N_{||}$) is an important parameter for LHCD, which determines the properties of the LHCD experiment, such as the coupling of the wave to the

plasma, the propagation of the LHW, the LHW power deposition, the driven current profile, as well as the current drive efficiency, and then will affect the synergistic effect simultaneously.

In order to study the dependence of the synergistic effect on N_{\parallel} of the LHW on the EAST, the comparisons of the synergistic effect among three cases of $N_{\parallel}^{\text{peak}} = 2.04, 2.2, 2.4$ are given in Fig. 7. It shows that the best synergistic effect is obtained at $N_{\parallel}^{\text{peak}} = 2.04$, followed by $N_{\parallel}^{\text{peak}} = 2.2$ and $N_{\parallel}^{\text{peak}} = 2.4$. This may lie in the fact that the drive efficiency depends on the parallel index of refraction of the LHW. By simulation, the current driven by the LHW (2 MW) only, when $N_{\parallel}^{\text{peak}} = 2.04$ is 457 kA, is greater than the LHCD current in the case of $N_{\parallel}^{\text{peak}} = 2.2$ ($I_{\text{LH}} = 436$ kA) and $N_{\parallel}^{\text{peak}} = 2.4$ ($I_{\text{LH}} = 356$ kA) (see Fig. 8). This result indicates that the synergistic effect strongly depends on the driven current of LHW. As its current drive efficiency is one order higher than that of the ECW, the LHW plays an important role in the synergy current drive. In the phase of ECCD and LHCD synergy current drive, a higher LHCD efficiency means that more fast electrons are driven and they can be accelerated further by ECW to obtain a larger synergy current. The dependence of LHCD efficiency on N_{\parallel} is very significant, because N_{\parallel} determines not only the location of LHW power deposition, but also the velocity component of the high energy electron group interacting with the LHW.

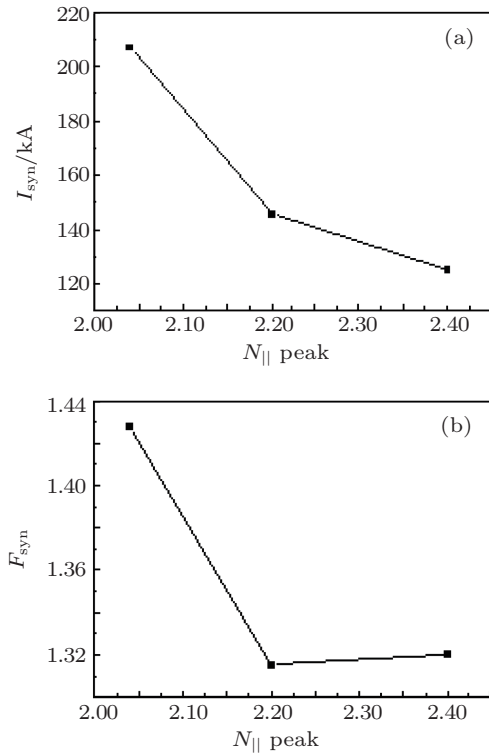


Fig. 7. Variations of synergy current (a) and synergy factor (b) with $N_{\parallel}^{\text{peak}}$.

The resonant condition of the LHW, according to $\omega - k_{\parallel}v_{\parallel} = 0$,^[23] where ω is the LHW frequency, v_{\parallel} and $k_{\parallel} = N_{\parallel}\omega/c$ are the parallel components of the velocity of the resonant electrons and wave vector of the LHW, respectively, can be written in the form of

$$v_{\parallel} = c/N_{\parallel} = v_{\Phi_{\parallel}}, \quad (13)$$

where $v_{\Phi_{\parallel}}$ is the parallel phase velocity of the LHW. We can see from formula (13) that when N_{\parallel} is smaller, which means that the phase velocity of the LHW is relatively greater, most

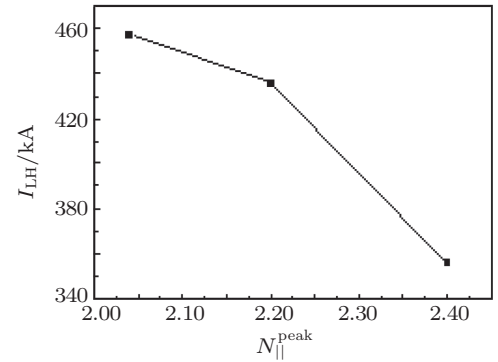


Fig. 8. Current driven by the LHW versus $N_{\parallel}^{\text{peak}}$.

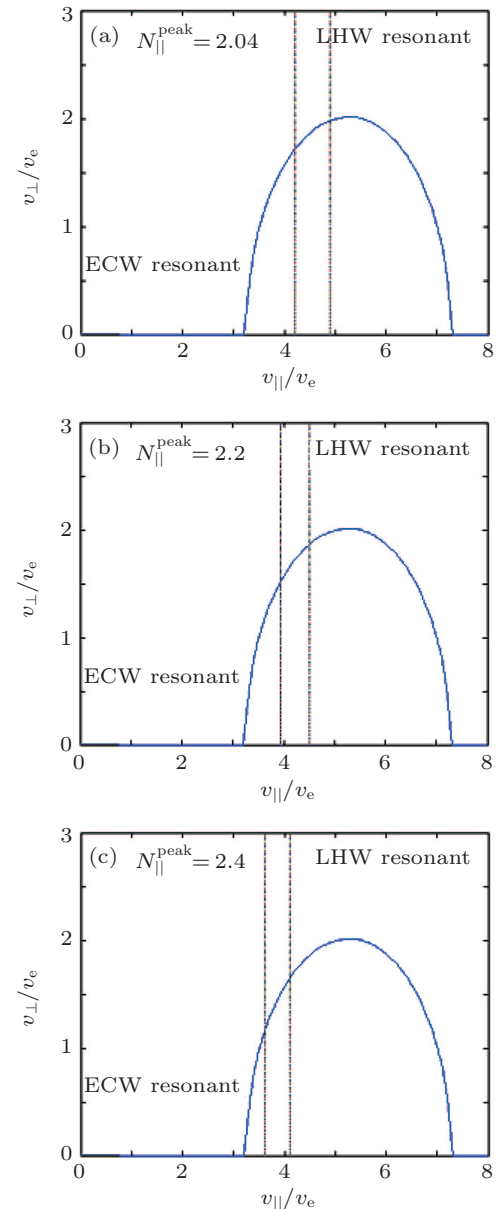


Fig. 9. (color online) Resonances of the EC and LH waves for $N_{\parallel}^{\text{peak}}$ values of 2.04 (a), 2.2 (b), and 2.4 (c).

of the wave beams interact with the electrons close to the core plasma. With the increase of N_{\parallel} , the wave phase velocity decreases, thus more edge electrons with enough speed can interact with LHW and acquire the energy from the wave. Since the density and the temperature in the edge plasma are lower than in the core region, the LHCD efficiency will decrease with the increase of N_{\parallel} . However, the LHW cannot propagate through the plasma if the N_{\parallel} is too small due to the accessibility condition.

On the other hand, N_{\parallel} of the LHW determines the resonance and position of the LHW, then it will affect the production of the synergy electrons. Figure 9 shows that with N_{\parallel} increasing from 2.04 to 2.4, the resonance region of the LHW gradually moves from the high v_{\parallel} to the low v_{\parallel} region. At the same time, the overlapping region of the two waves gradually becomes smaller. According to the synergistic effect mechanism, when the resonant regions of the LH and EC waves have a common area in the velocity space, the synergy electrons can be produced, which is the necessary condition for producing the synergy current, because only when the two wave resonance regions overlap, can the high energy electrons driven by LHW fall into the resonance region of ECW and further be accelerated by ECW to obtain a greater vertical speed and become a synergy electron. The additional current can then form effectively.

In a word, the synergistic effect is better when the LHW with an appropriate N_{\parallel} that can drive a larger current and can make the resonance area of the two waves in the velocity space overlap combines with the ECW.

4. Conclusions and discussion

Numerical simulation of the synergistic effect between LHCD and ECCD is systematically done and investigated by C3PO/LUKE in EAST tokamak. By simulation, we study the dependence of the synergistic effect on the power deposition locations of ECCD and LHCD, the power level of the two waves, and the parallel refractive indices of the LHW. In order to obtain a better synergistic effect, the peaks of the drive current density of the LHW and ECW must be overlapped, because this may further make the diffusion region of two waves overlap in the velocity space. We usually adjust the poloidal or toroidal incident angle of the ECW to deposit the ECW power at the same radial position of the LHW to obtain a good synergistic effect. Furthermore, the synergistic effect is much more dependent on the levels of EC and LH wave power. Moreover, as the powers of the two waves increase, the synergy currents vary linearly with the LHW and ECW power at the

power levels of 0.5–2 MW on the EAST, the maximum total current driven by the ECW and LHW simultaneously and synergy current calculated by the C3PO/LUKE code on EAST are about $I_{\text{EC+LH}} = 830$ kA and $I_{\text{syn}} = 300$ kA with $P_{\text{LH}} = 2$ MW and $P_{\text{EC}} = 2$ MW, respectively. However, in this paper we neglect the change of the electron temperature T_e profile (and the density). While in the real experiment, the plasma temperature and density change with the values of LHW and ECW power, which can also affect the influence of the tail of the distribution function of the electrons on the synergistic effect. Finally, since LHCD current and the resonant position are significantly affected by N_{\parallel} , an important parameter for the synergistic effect.

Note that the present simulation is preliminary and to investigate the synergy effect for a given LH power deposition by modifying EC wave parameters so as to gain a large driven current. Such simulation results depend on LH power deposition, which is related to the LH model, e.g., effects of spectrum broadening on power deposition. In this paper, we only consider the case of power deposition with the LH model without considering spectrum broadening. In addition, note that high power injection (e.g., 4 MW) will strongly modify the plasma, and radial transport may totally cancel the effects if anomalous transport is too high. The tail of the distribution function of the electrons cannot be built, and the synergy will drop. Therefore, the role of power scanning is only to see the change tendency of the synergy effect. Further work will be performed by comparing experiments and LH simulation where a more reasonable LH model is applied.

References

- [1] Fidone I, Giruzzi G, Granata G and Meyer R L 1984 *Plasmas. Phys. Fluids* **27** 2468
- [2] Ando A, Ogura K, Tanaka H, Iida M, Ide S, Oho K, Ozaki S, Nakamura M, Cho T, Maekavva T, Terumichi Y and Tanaka S 1986 *Phys. Rev. Lett.* **56** 2180
- [3] Yamamoto T, Hoshino K, Kawashima H, Uesugi Y, Mori M, Suzuki N, Ohta K, Matoba T, Kasai S, Kawakami T, Maeno M, Matsuda T, Matsumoto H, Miura Y, Ochiai I I, Odajima K, Ogawa T, Ogawa H, Ohtsuka H, Sengoku S, Shoji T, Tamai H, Tanaka Y, Yamamoto S, Yamachi T and Yanagisawa I I 1987 *Phys. Rev. Lett.* **58** 2220
- [4] Maekawa T, Maehara T, Minami T, Kishigami Y, Kishino T, Makino K, Hanada K, Nakamura M, Terumichi Y and Tanaka S 1993 *Phys. Rev. Lett.* **70** 2561
- [5] Colborn J A, Squire J P, Porkolab M and Villaseñor J 1998 *Nucl. Fusion* **38** 783
- [6] Decker J and Peysson Y 2004 *Euratom-CEA Report* EUR-CEA-FC-1736
- [7] Harvey R W and McCoy M G 1992 *Proc. IAEA Technical Committee Meeting on Advances in Simulation and Modeling of Thermonuclear Plasmas, Montreal, Canada*, p. 489
- [8] Zvonkov A V, Kuyanov A Y, Skovoroda A A and Timofeev A V 1998 *Plasma Phys. Rep.* **24** 389
- [9] Giruzzi G, Artaud J F, Dumont R J, Imbeaux F, Bibet P, Berger-By G, Bouquey F, Clary J, Darbos C, Ekedahl A, Hoang G T, Lennholm M, Maget P, Magne R and Ségui J L 2004 *Phys. Rev. Lett.* **93** 255002

- [10] Chen S Y, Hong B B, Liu Y, Lu W, Huang J, Tang C J, Ding X T, Zhang X J and Hu Y J 2012 *Plasma Phys. Control. Fusion* **54** 115002
- [11] Smirnov A P and Harvey R W 1995 *Bull. Am. Phys. Soc.* **40** 1837
- [12] Esterkin A Rand Piliya A D 1996 *Nucl. Fusion* **36** 1501
- [13] Peysson Y and Decker J 2008 *Euratom-CEA Report* EUR-CEA-FC-1738
- [14] Charles Karney F F 1986 *Computer Phys. Rep.* **4** 183
- [15] Zheng P W, Gong X Y, He L H and Du D 2011 *Progress Report on China Nuclear Science & Technology* **2** 56
- [16] Lao L L, Ferron J R, Groebner R J, Howl W, John H St, Strait E J and Taylor T S 1990 *Nucl. Fusion* **30** 1035
- [17] Ding B J, Zhang G R, Li M H, Zhang L, Kong E H, Zhang X J, Qin C M, Liu F K, Shan J F, Zhao Y P and Wan B N 2011 *Plasma Phys. Control. Fusion* **53** 065018
- [18] Wei W, Ding B J, Zhang X J, Wang X J, Li M H, Kong E H and Zhang L 2014 *Chin. Phys. B* **23** 055201
- [19] Rosa P R da S and Ziebell L F 2002 *Plasma Phys. Control. Fusion* **44** 2065
- [20] Lu W, Chen S Y, Tang C J, Bai X Y, Zhang X J, and Hu Y J 2013 *Chin. Phys. Lett.* **30** 065203
- [21] Chen S Y, Tang C J and Zhang X J 2013 *Chin. Phys. Lett.* **30** 065202
- [22] Fidone I, Giruzzi I G, Krivenski V, Mazzucato E and Ziebell L F 1987 *Nucl. Fusion* **27** 579
- [23] Zhu S Y 1992 *Principle of Nuclear Fusion* (Hefei: University of Science and Technology of China Press) p. 240